

9 Essential Cybersecurity Questions to Ask When Choosing a Litigation Support Services Partner

KEEPING CASE AND CLIENT DATA SECURE IS TOP OF MIND

Cyber threats are very real. In a recent BlueVoyant Cyber Security Firm report, nearly **15%** of a sample of global law firms show compromised networks and **100%** had been targeted by cyber threats.¹ With increased online activity due to the onset of COVID-19, hacking attempts and breaches continue to become more prevalent – and more costly. The total number of security breaches increased by **17%** in 2021², and the total cost of a data breach increased to **4.24 million** per incident, the highest seen in **17 years**.³

In this new era of increased cyber threats, you cannot afford to leave your case and client data unprotected.

To safeguard confidential information, it is important to be aware of potential vulnerabilities so you can take the necessary measures to mitigate risks. When selecting a litigation support services partner for court reporting, record retrieval or other litigation support services, it is essential to thoroughly vet their cybersecurity and data privacy policies.

Not only should they have robust plans in place to prevent breaches, but they should also have plans to respond promptly to any threats that may arise.

1. BlueVoyant, Sector 17 Report, <https://www.bluevoyant.com/news/bluevoyant-sector-17-press-release/>

2. Identity Theft Resource Center, <https://www.idtheftcenter.org/identity-theft-resource-center-to-share-latest-data-breach-analysis-with-u-s-senate-commerce-committee-number-of-data-breaches-in-2021-surpasses-all-of-2020/>

3. IBM REPORT, <https://newsroom.ibm.com/2021-07-28-IBM-Report-Cost-of-a-Data-Breach-Hits-Record-High-During-Pandemic>

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1. IS YOUR COMPANY HIPAA COMPLIANT?

The Health Insurance Portability and Accountability Act (HIPAA) of 1996 dictates how protected health information is stored, handled and disclosed. To ensure the confidentiality of your clients Protected Health Information (PHI), you need to work with a HIPAA compliant partner.

2. HAS A SOC 2 TYPE 2 EXAMINATION BEEN CONDUCTED?

SOC refers to "System and Organization Controls" and these are designed to measure how well an organization manages and protects client data. A successful SOC 2 Type 2 audit takes this an important step further, confirming that an independent third-party auditor has verified that best-in-class procedures, safeguards and technologies are employed across the five trust principles - security, availability, processing integrity, confidentiality and privacy.

3. HAS AN INDEPENDENT AUDITOR VERIFIED BOTH SOC 2 TYPE 2 AND HIPAA COMPLIANCE?

Without [attestation from a reputable independent auditor](#), you cannot guarantee that systems and operational processes ensure HIPAA compliance and follow SOC 2 Type 2 guidelines. Without this third-party verification, a vendor will also be unable to corroborate its answers to the vital questions on this list, including the nature of system and operational controls employed within the company, what risks are being mitigated by these systems and the effectiveness of the controls.

4. DO YOU HAVE A SECURITY OPERATIONS CENTER (SOC)?

A Security Operations Center gathers input from the following sources to protect systems and data:

- Intrusion detection and prevention systems that continuously monitor a network for vulnerabilities
- Firewalls that are preventing malicious access to systems
- System events on servers and staff computers that could signal malicious activity
- Endpoint malware and malicious activity
- Internet protection systems reporting malicious activity

5. WHAT IS YOUR DISASTER RECOVERY PLAN AND WHAT REDUNDANCIES DO YOU HAVE IN PLACE?

As the saying goes, "if you fail to plan, you plan to fail." Disasters come in many forms. Whether hackers, electrical fires, floods or broken air conditioning units, it is imperative your partner of choice has a disaster recovery plan. Not only does this protect your data, but enables your business to continue operations in the event of an emergency or disaster.

6. HOW IS DATA PRIVACY ENFORCED AND MONITORED?

To safeguard your privacy, a partner should offer strict role-based access control, limiting who has access to your data within the organization. The enforcement of strict access control should be audited and tested in a company's SOC 2 Type 2 report.

7. WHAT IS THE INCIDENT RESPONSE PLAN?

In the event of a breach, having a complete incident response plan will reduce damage and help you recover as quickly as possible. When considering a potential partner, this is an important component that should not be overlooked. The incident response plan, and how incidents are handled within a company, will be audited and confirmed in the company's SOC 2 Type 2 report.

8. IS YOUR DATA BACKED UP AND IF SO, HOW OFTEN?

To prevent data loss, a provider should perform at minimum daily backups of all systems and data. A company should also replicate systems and data to a separate geographic location to assure that they are always available. Backup activities should be audited and confirmed in the company's SOC 2 Type 2 report.

9. ARE THIRD-PARTY PENETRATION TESTS CONDUCTED ON KEY SYSTEMS EXPOSED TO THE INTERNET TO ENSURE THEY ARE SECURE?

Penetration testing conducted by a reputable third-party firm helps ensure client data is safe when using systems exposed to the Internet. Confirmation of penetration testing should be included in a company's SOC 2 Type 2 report and a company should be able to produce a report that shows testing results.

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U.S. Legal Support offers **security and data protection** that no other litigation support company can provide. We follow the **NIST Cybersecurity Framework** regarding policies, procedures and controls, meeting and exceeding requirements. We work hard so you can rest easy.



HIPAA compliant



SOC 2 Type 2 compliance



Attestation from reputable independent auditor of all systems, processes and controls



24/7 Network and Security Operations Center



Intrusion detection and prevention systems



Third-party penetration testing



Incident Response Plan vetted by independent cybersecurity incident response experts



Frequent backups and replication across multiple datacenters in separate geographic locations



Disaster recovery plan

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